



Sons of the American Revolution

Ohio Society

Organized April 22, 1889

Chief Compliance Officer Activity Report

Fall Board of Management

October 17, 2020

SCOPE OF DUTIES

The Chief Compliance Officer has oversight authority of the Ohio Society to ensure compliance with the Sarbanes-Oxley Act. He documents formal certification of the internal controls, procedures and processes of the Ohio Society to ensure it is in compliance with the Sarbanes- Oxley Act; works with the Ohio Society Audit Committee, provides assistance to the Chairman of the Audit Committee; works with the Ohio Society Ethics Committee, provides assistance to the Chairman of the Ethics Committee; coordinates with the Chancellor and the Treasurer as needed; coordinates compliance efforts with respect to legislative and regulatory developments affecting OHSSAR governance; keeps the Board of Management and the Executive Committee informed about governance law, trends, issues, and best practices; and reports to the Executive Committee all material developments and concerns regarding governance practices, issues and requirements, whether raised internally or externally.

ACTIVITIES ACCOMPLISHED

Conflict of Interest forms were sent to all appropriate Compatriots on June 9, 2020. As of October 3, 2020, 24 of the required 31 have been received

My special thanks to Steve Kaplan for helping the committee by identifying those Chapters that remain deficient in filing their 990-N with the Internal Revenue Service and/or completing their annual reporting requirement to the Ohio Attorney General (Charitable entity registration). All deficient Chapters have been notified and are encouraged to address these issues promptly, as noted below:

John Stark - Not registered with the Ohio Attorney General

Lafayette - Not registered with the Ohio Attorney General

George Rogers Clark - Need to complete their registration with the Ohio Attorney General

Northwest Territories - Need to file with both the IRS and Ohio Attorney General

Samuel Huntington - Need to file with the Ohio Attorney General

Centennial - Need to file with the Ohio Attorney General

A handwritten signature in black ink, appearing to read "D. McGraw, Jr.", written in a cursive style.

Colonel (Ret) Donald C. McGraw, Jr.

Ohio Society SAR

Chief Compliance Officer

A fraternal and civic society composed of lineal descendants of the men who wintered at Valley Forge, signed the Declaration of Independence, fought in the battles of the American Revolution, served in the Continental Congress, or otherwise supported the cause of American Independence.

<http://www.ohssar.org>